

## Review

## Bio-effects and safety of low-intensity, low-frequency ultrasonic exposure

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## ABSTRACT

Low-frequency (LF) ultrasound (20–100 kHz) has a diverse set of industrial and medical applications. In fact, high power industrial applications of ultrasound mainly occupy this frequency range. This range is also used for various therapeutic medical applications including sonophoresis (ultrasonic transdermal drug delivery), dentistry, eye surgery, body contouring, the breaking of kidney stones and eliminating blood clots. While emerging LF applications such as ultrasonic drug delivery continue to be developed and undergo translation for human use, significant gaps exist in the coverage of safety standards for this frequency range. Accordingly, the need to understand the biological effects of LF ultrasound is becoming more important.

This paper presents a broad overview of bio-effects and safety of LF ultrasound as an aid to minimize and control the risk of these effects. Its particular focus is at low intensities where bio-effects are initially observed. To generate a clear perspective of hazards in LF exposure, the mechanisms of bio-effects and the main differences in action at low and high frequencies are investigated and a survey of harmful effects of LF ultrasound at low intensities is presented.

Mechanical and thermal indices are widely used in high frequency diagnostic applications as a means of indicating safety of ultrasonic exposure. The direct application of these indices at low frequencies needs careful investigation. In this work, using numerical simulations based on the mathematical and physical rationale behind the indices at high frequencies, it is observed that while thermal index (TI) can be used directly in the LF range, mechanical index (MI) seems to become less reliable at lower frequencies. Accordingly, an improved formulation for the MI is proposed for frequencies below 500 kHz.

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## 1. Introduction

In April 1917, Paul Langevin, was testing a 150 kHz, 1 kW, ultrasonic transducer, underwater in a lake, when he observed the immediate death of fish swimming near to the acoustic beam (Duck, 2008).<sup>1</sup> This was probably the first recorded observation of adverse biological effects of ultrasound, but was by no means the last.

The human body can be exposed to different regions of the ultrasonic frequency range in medical or industrial applications. These include high frequency (1–20 MHz) exposure in medical diagnostic ultrasound, (Duck, 2007), “medium” frequencies (0.7–3 MHz) in therapeutic ultrasound, (Duck et al., 1998) and “low” frequencies (20–200 kHz) in industrial and therapeutic applications. The frequency range of 200–700 kHz (intermediate frequency ultrasound) has historically found fewer applications (Polat et al., 2011a,b).

The interest of the current research lies in the low-frequency (LF) range (20–100 kHz) within which numerous therapeutic medical applications reside. These include sonophoresis (transdermal drug delivery) (Mitragotri, 2005), dentistry (Walmsley et al., 1992), eye surgery (Takahashi, 2005), body contouring (Cooter et al., 2001) and sonothrombolysis (eliminating blood clots) (Siegel and Luo, 2008). In fact, most high power industrial applications of ultrasound also occupy this frequency range (Shoh, 1975). The relatively long wavelengths mean that the LF ultrasonic wave is influenced by larger obstacles than is high frequency ultrasound in its passage through the propagation medium. This results in lower spatial resolution and the LF range is thus less useful for diagnostic medical applications.

Low-frequency therapeutic ultrasound can broadly be divided into “high” and “low” intensity. Low-intensity treatments generally use an unfocused ultrasonic beam. The spatial and temporal power densities in these applications are in the range  $0.125\text{--}3\text{ W cm}^{-2}$  (Curra and Crum, 2003). High intensity applications apply strongly focused ultrasound beams and have peak power densities ranging from about  $5\text{ W cm}^{-2}$  to several thousand  $\text{W cm}^{-2}$ . Table 1 summarizes the intensity levels and frequency ranges of the most significant LF therapeutic applications.

Existing industrial and domestic applications of LF ultrasound cover a wide range of uses including cleaning, drilling, welding, ranging and navigation and numerous domestic products (including remote controls and pest repellents). Table 2 summarizes the frequency range and intensity of major industrial applications within the kHz ultrasonic range.

Despite the vast range of applications, there are significant gaps in the coverage of safety standards for low-frequency therapeutic ultrasound (see Section 5). In industrial applications also, to the best of our knowledge, there is currently no relevant standard supporting contact exposure for low-frequency ultrasound (Duck, 2007). Accordingly, the need to understand the biological effects of LF ultrasound is becoming more important.

Ultrasonic transducers used to expose the human body to LF ultrasound, may be piezo-electric, resistive, magnetostrictive or electrostatic (Safari and Akdoğan, 2008). Piezo-electricity has been the dominant technology for ultrasonic transducers for many years (Oralkan et al., 2002). Recent advances in Microelectromechanical systems (MEMS) design have enabled the fabrication of a relatively new generation of electrostatic transducers. These devices are called capacitive micromachined ultrasonic transducers (CMUTs) and use changes in capacitance to convert electrical energy to ultrasound. The emergence of CMUTs has provided several advantages over piezo-electric transducers (Ergun et al., 2003). As CMUTs are micromachined, it is easier to construct two or three dimensional arrays using CMUT transducers. The inclusion of large numbers of CMUTs to form a transducer array provides a larger bandwidth than for more conventional transducer technologies. In addition, high frequencies of operation are more easily achieved using CMUTs due to their smaller dimensions (Oralkan et al., 2002).

Human exposure to ultrasound may be through direct contact, or be airborne. The time of exposure can vary greatly, from a few seconds in contact exposure for transdermal drug delivery (Becker et al., 2005; Brown et al., 2006; Katz et al., 2004; Spierings et al., 2008), to several hours and days for industrial airborne exposures (Acton, 1974, 1983; Acton and Carson, 1967; Acton and Hill, 1977).

An air/tissue interface provides a highly reflecting boundary, which may bounce back up to 99% of incident ultrasound energy (Zagzebski, 1996). Consequently, airborne exposure has only limited penetration into human body. When there is the need for greater ultrasound penetration to provide information (imaging) or generate therapeutic effects in medical applications, a contact exposure technique is usually used. The air gap between the transducer and skin is eliminated using a coupling medium with the probe being placed in direct contact with the body.

Coupling media can play a significant role in mediating the bio-effects due to LF ultrasound. The viscosity, surface tension, density, acoustic impedance, and other properties of the coupling medium can greatly influence the extent and location of effects generated. Based on application requirements, the coupling media for LF ultrasound may be aqueous formulations or high viscosity gels (see the discussion in Section 3.2.1). In all cases, the acoustic impedance of the coupling solution is matched to that of the tissue in contact with the transducer, to maximize ultrasonic energy transfer to the body.

Contact exposure can be either non-invasive (when the exposure is through the intact skin surface) or invasive where a macroscopic mechanical change is induced directly in the tissues using ultrasonic vibrations from a driven tool, e.g. for cutting tissues in ultrasonic surgery (O'Daly et al., 2008). In invasive applications, the ultrasonic transducer is connected to a vibrating tip which acts like a micro-drill causing mechanical or direct ‘jackhammer’ effects in the tissues in contact with the tip (Vajpayee et al., 2005). The bio-

<sup>1</sup> This effect was later identified to be the result of cavitation (Nyborg, 2001).

**Table 1**  
General characteristics of LF ultrasound for medical applications.

Intensity range	Application	Description	Low-frequency range	Temporal-average intensity <sup>a</sup>
Low intensity	Sonophoresis; Glucose extraction; Ultrasonic drug delivery to the brain	<ul style="list-style-type: none"> <li>• Sonophoresis: Transdermal drug delivery</li> <li>• Glucose extraction: Extraction of glucose or other material from the skin in an opposite direction compared to sonophoresis</li> </ul>	20–100 kHz (Polat et al., 2011a,b) Drug delivery to the brain: 80–180 kHz (Mitragotri, 2005)	0.05–3.5 W cm <sup>-2</sup> (Polat et al., 2011a,b)
	Cosmetic applications	<ul style="list-style-type: none"> <li>• Skin scrubbing,</li> <li>• Microderm-abrasion – a method for facial rejuvenation</li> </ul>	20–500 kHz (Esenaliev, 2006)	Various intensities (Esenaliev, 2006)
High intensity	Dentistry	Two main applications are: <ul style="list-style-type: none"> <li>• Dental descaling (tooth cleaning and calculus removal)</li> <li>• Root canal therapy</li> </ul>	25–42 kHz (Arabaci et al., 2007; Plotino et al., 2007)	Equivalent of 0.58 W cm <sup>-2</sup> (Walmsley, 1988a) <sup>b</sup>
	Lithotripsy	Extracorporeal shock wave lithotripsy (ESWL) -the non-invasive breaking of kidney stones using acoustic pulses	100–200 kHz (Miller, 2007)	In the range of 10 MPa (Miller, 2007)
	Sonothrombolysis	Sonothrombolysis – the break down (lysis) of blood clots using acoustic waves	20–25 kHz (Siegel and Luo, 2008)	0.5–50 W cm <sup>-2</sup> (Nesser et al., 2002)
	Surgical	Tissue dissection and fragmentation	20–60 kHz (O'Daly et al., 2008)	10–850 W cm <sup>-2</sup> (O'Daly et al., 2008)
	Lipoplasty	Ultrasonic lipoplasty – the use of ultrasound waves to loosen fat beneath the skin's surface before its removal by means of suction	20–50 kHz (Mitragotri, 2005; Cooter et al., 2001)	10–300 W cm <sup>-2</sup> (Cooter et al., 2001)
	Phacoemulsification	Phacoemulsification cataract surgery - break up and removal of a cloudy lens, or cataract, from the eye to improve vision	25–62 kHz (Fine et al., 2002)	Up to 1000 W cm <sup>-2</sup> continuous wave (Topaz et al., 2002)

<sup>a</sup> Temporal-average intensity  $I_{TA}$  is generally the intensity averaged over time, or over one repetition period for pulsed ultrasound (Pettersson et al., 1998).

<sup>b</sup> This intensity level is calculated for the tip displacement range of 18–65  $\mu\text{m}$  typically used in ultrasonic dentistry (Walmsley, 1988a). Intensity of ultrasound is defined by  $I = 1/2\omega^2 a^2 \rho v$  where  $\omega$  is the angular frequency,  $a$  is the (tip) displacement,  $\rho$  is density of the medium of ultrasonic propagation (water) and  $v$  is the speed of sound in the medium (Mittal, 2010).

effects of invasive applications of LF ultrasound are not relevant to this review because of the high intensities used.<sup>2</sup>

This paper is concerned with the interaction, hazards and safety of low-frequency (20–100 kHz), low-intensity ultrasound. Ultrasound propagation inside the body at low intensities can be described using a linear model. With the increase of intensity, non-linear effects start to emerge which may themselves generate bio-effects (Miller, 1987). Accordingly, the study of safe levels of exposure must consider the generation of bio-effects in the region between these intensity thresholds. Section 2 of this work discusses the thermal and non-thermal effects of LF exposure and provides a mathematical description of the role of frequency in generating these effects. Using the analysis of this section, Section 3 compares the bio-effects of ultrasound at high and low frequencies. A survey of LF bio-effects for both contact and airborne exposure is provided in Section 4 and a discussion of the current status of standards in this range is summarized in Section 5, emphasizing the need for LF exposure safety studies. Section 6 looks at the concept of the safety indices (mechanical and thermal indices) used for diagnostic ultrasound to investigate whether these indices can be used directly for LF exposure. Using numerical simulations from the Holland and Apfel (1989) theory, an alternative formulation is proposed for the mechanical index in the LF range.

<sup>2</sup> Readers are referred to several studies on the potential hazards and safety of invasive applications of LF ultrasound (Hafez, 2006; O'Daly et al., 2008) in ultrasonic surgery (O'Daly et al., 2008), cataract surgery (Takahashi, 2005), dentistry (Arabaci et al., 2007; Trenter and Walmsley, 2003; Walmsley, 1988b) and ultrasonic thrombolysis (Siegel and Luo, 2008). For non-invasive applications, bio-effects of high intensity LF ultrasound in lithotripsy (Coleman and Saunders, 1993; Miller, 2007) and lipoplasty have also been discussed (Cooter et al., 2001).

## 2. Frequency dependence of ultrasonic bio-effects

In understanding the bio-effects of low-frequency ultrasound, it is essential to analyze the influence of frequency on the interactions between an ultrasonic wave and biological tissues. This interaction can be the result of the following phenomena: thermal and cavitation effects, and other “mechanical” effects including acoustic micro-streaming and radiation forces. The role of frequency in generating these phenomena will be investigated.

### 2.1. Thermal effects

Ultrasound energy is attenuated when a wave propagates through a medium. Attenuation is the result of absorption and scattering effects. Absorption in tissue leads to the transformation of sound energy into heat, and is the main component of attenuation (Ensminger and Stulen, 2008). In fact, scattering has shown to contribute little to attenuation in most soft tissues (Shung and Thieme, 1993). If a sinusoidal ultrasound wave with frequency  $f$  and pressure amplitude  $P_0$  travels through tissue, the time harmonic solution of the wave equation becomes

$$P_x = P_0 e^{-\alpha x} \quad (1)$$

where  $P_x$  is the sound pressure value at a point having distance  $x$  with the source of applied pressure (the transducer). The absorption coefficient  $\alpha$  is a characteristic of biological tissue and its dependence on frequency  $f$  (Hill and ter-Haar, 1988) is

$$\alpha = \alpha_0(f)^n \quad (2)$$

where  $\alpha_0$  is the reference absorption coefficient of the particular tissue (Wells, 1977). Absorption of ultrasound in soft tissues can be

**Table 2**  
Frequency range and the intensities of major high power industrial applications, from Shoh (1975), EHDC (1991).

Application	Description	Low-frequency range	Intensity range
Cleaning and degreasing	Cavitating cleaning solution, part scrubbing	20–50 kHz	Approximately 1–6 W cm <sup>-2</sup>
Ranging and navigation	<ul style="list-style-type: none"> <li>In water: SONAR (SOUND Navigation And Ranging)</li> <li>In air: SODAR (SONic Detection And Ranging)</li> </ul>	Infrasonic to 100 kHz and higher <sup>a</sup> (Crocker, 1998)	Up to 6.67 W cm <sup>-2</sup> (at 1 m distance from the transducer) <sup>b</sup> in military Sonar (Reynolds, 2005)
Soldering and braising	Displacement of oxide film to accomplish bonding without flux	18–30 kHz	1–50 W cm <sup>-2</sup>
Plastic welding	Welding soft and rigid plastic	About 20 kHz	Approximately 100 W cm <sup>-2</sup> at the weld
Metal welding	Welding similar and dissimilar metals	10–60 kHz	Approximately 2000 W cm <sup>-2</sup> at the welding tip
Machining	Rotary machining, impact grinding using abrasive slurry assisted drilling, vibration	Usually 20 kHz	Approximately 1–3 W cm <sup>-2</sup>

<sup>a</sup> Frequency of ranging application strongly depends on the range and resolution of the application and can be extended to MHz range (Crocker, 1998).

<sup>b</sup> Equivalent of Sound pressure level (SPL) 235 dB at 1 m (re:  $p_0 = 1 \mu\text{Pa}$ , i.e.  $I_0 = 6.67 \times 10^{-19} \text{ W m}^{-2}$ ) (Hodges, 2011).

the result of two phenomena: classical absorption (as a result of viscosity) and relaxation (as a result of the existence of a time-lag between the application of an ultrasonic wave to a tissue particle and oscillation of that particle in response to the wave). When the inhomogeneities of a lossy medium such as tissue are small compared to the wavelength, classical absorption is dominant and  $n \approx 2$  in (2). For many biological tissues, as in the general case of soft tissues, relaxation is predominant and  $n$  has a value slightly greater than 1 (Shung, 2008). As observed here, in both cases of classical and relaxation absorption,  $\alpha$  demonstrates an increasing dependence on frequency. In fact, it has been experimentally proven and well documented that in the frequency range of LF ultrasound up to the low megahertz region,<sup>3</sup> the absorption coefficient of biological soft tissues increases monotonically with frequency (Shung, 2008). This point will be revisited in Section 3.

## 2.2. Cavitation

Acoustic Cavitation is the term used to describe the influence of an ultrasonic wave on the formation, growth, oscillation and collapse of bubbles (gaseous cavities) in a liquid medium. A sound wave propagating through a liquid, consists of expansion (negative pressure) and compression (positive pressure) half cycles. If the pressure in the sound wave is high enough, in the expansion half cycles, the distance between liquid molecules can exceed the critical molecular distance necessary to hold the liquid intact. Consequently the liquid may break down and bubbles (gas-filled cavities) will be created (Brennen, 1995).

At lower pressures where the *de novo* formation of bubbles is not possible, the ultrasonic pressure wave can affect the population of pre-existing bubbles in the liquid medium. At these pressures, a number of forces are applied to a pre-existing bubble by the acoustic field. If the bubble radius is comparable in size to the wavelength, the bubble will become trapped in the spatial distribution of compression and expansion of the acoustic field and undergo periodic variations in size. For relatively small pressure amplitudes, the interactions of this bubble-liquid system can be described as a linear oscillator having a natural resonance frequency (Leighton, 1998). Consequently for an acoustic wave with frequency  $f$  propagating in a liquid medium which includes different bubble sizes, bubbles with a natural resonance frequency matching  $f$  will undergo maximum oscillations. For water under normal atmospheric pressure, the linear resonant radius ( $R_r$ ) of spherical air bubbles which will resonate at frequency  $f$  can be approximated as

$$R_r = \frac{3.28}{f}; \quad R_r \geq 0.01 \text{ mm} \quad (3)$$

where  $f$  is in kHz and  $R$  is in mm. In deriving (3), the surface tension of the liquid is neglected, rendering this formulation less valid for high frequency ultrasound (Wu and Nyborg, 2006).

The oscillation of bubbles in response to the acoustic wave is called stable cavitation. Stable cavitation occurs at low to moderate pressure amplitudes (less than  $\sim 1$  MPa with an inverse dependence on frequency (Carvell and Bigelow, 2011)). A radially oscillating bubble inside a liquid medium can grow in an acoustic pressure field as a result of rectified diffusion. In this process, during the expansion half cycle, because of the pressure decrease inside the bubble, some of the liquid surrounding the bubble can diffuse through the boundary layer and turn into vapour inside the bubble. In the subsequent contraction half cycle, as a result of the increase of pressure inside the bubble, some of this vapour will condense and diffuse out. This diffusion is “rectified” because the surface area of a bubble in the expanded state is much greater than in the contracted state providing a greater area for diffusion of the gas into the bubble than for diffusion out of it. Rectified diffusion favours bubble growth over subsequent oscillations as a result of the increase of gas inside the bubble (Polat et al., 2011a,b). If this process proceeds quickly, the bubble experiences rapid growth over a few cycles followed by a collapse during the positive half cycle (due to the inertia of the spherically converging liquid). This phenomenon is called inertial or transient cavitation (Wells, 1977). In terms of bio-effects, depending on the position, the collapsing bubble will either generate a shock wave in the bulk of the liquid or a micro-jet near a boundary (Crum, 1999). During bubble collapse, high temperatures (several thousand degrees K) occurring at the location of the bubble may cause free radical formation (Wells, 1977).

For a free spherical bubble in a given liquid the onset of transient cavitation depends on the acoustic pressure amplitude, frequency, and the size of the bubble. There is an optimum range for the initial size of a bubble which can undergo inertial cavitation at the threshold pressure amplitude of a wave with frequency ( $f$ ). In general this optimum size is of the order of  $R_r/3$  where  $R_r$  is the radius of the bubbles resonating at frequency ( $f$ ) as in (3) (Crum, 1999). For inertial cavitation, the lower the frequency of the wave, the more time the bubble has to grow by rectified diffusion in the expansion half cycle, and consequently a more violent collapse during the following compression half cycle results. Accordingly it is reasonable to expect that the influence of transient cavitation will be more significant at lower ultrasonic frequencies (Odegaard et al., 2005).

Having discussed the effect of frequency and bubble size, it has to be noted that inertial cavitation is a threshold phenomenon and

<sup>3</sup> For frequencies up to 15 MHz (Shung, 2008).

is characterized by a specific value below which the negative-pressure amplitude is insufficient for the implosion of gas bubbles. The threshold values for transient cavitation of the optimum sized spherical air bubbles in fresh water and blood is calculated in Section 6.2. As will be observed in that section, the thresholds for inertial cavitation follows a frequency dependent pattern with continuous wave ultrasonic exposure in the 20–500 kHz<sup>4</sup> range which can be approximated by

$$P_t = A + Bf^n \quad (4)$$

with  $P_t$  is in MPa and  $f$  in MHz. Using the numerical simulations of Section 6.2 for this frequency range,  $A$  and  $B$  are shown to be positive and  $n$  is approximately 0.6 for water and 0.68 for blood. Similar experimental results with a monotonous increase in threshold with frequency, have been reported by [Urlick \(1983\)](#) for continuous exposure of aerated water at room temperature in this frequency range.

### 2.3. Other effects

Ultrasound propagation in biological tissue is fundamentally a non-linear process. This non-linearity becomes more dominant with the increase of intensity where secondary phenomena, which are not predicted from a purely linear propagation model, begin to emerge ([NCRP, 1983](#); [Nyborg, 1978b](#)). These effects include cavitation, radiation forces, and micro-streaming ([Nyborg, 1978a](#), [Khokhlova et al., 2006](#)). Except for cavitation, the magnitudes of the other mechanisms are often insignificant compared to thermal effects. These phenomena however, become important when heating is minimized ([Miller, 2007](#)).

An ultrasonic beam generates a radiation force which tends to push any object in the path of the wave in the direction of propagation so that the particles in the medium are driven to regions of maximum pressure amplitude. The temporal average of radiation force is independent of frequency and is directly proportional to the local temporal-average intensity of the wave ([O'Brien, 2007](#)). Consequently radiation forces are expected to be insignificant at low intensities. For low-intensity LF application in sonophoresis, this effect has been shown to be very small ([Polat et al., 2011a,b](#); [Simonin, 1995](#)).

Periodic radial oscillations of gas bubbles in a liquid medium (stable cavitation), create complex steady state streaming patterns within the liquid in the vicinity of the oscillating bubble surface. This phenomenon is termed acoustic micro-streaming. The shear produced by the micro-streams passing over cell boundaries may affect the neighboring tissue structures and rupture cell or blood vessel walls ([Pahade et al., 2010](#)).

With the decrease of frequency, the size of resonant bubbles, and consequently the volume of liquid which is forced into motion by their oscillation, increases. As a result, the effect of micro-streaming may become more important at low frequencies ([Hafez, 2006](#)). However, these effects seem to be insignificant at low intensities, since acoustic micro-streaming depends on the oscillations of pre-existing nuclei in the liquid medium. For biological tissue, no bubbles have been observed in vivo except in digestive and respiratory tracts ([Hafez, 2006](#); [Miller, 1987](#)). For the LF range, the size of resonant bubbles is much larger than the diameter of the capillaries (the linear resonant radius at 20 kHz is about 150  $\mu\text{m}$  compared to a typical diameter of 5  $\mu\text{m}$  for capillaries ([Baskurt, 2007](#))). It seems improbable that at low intensities bubble resonances and micro-streaming can occur in blood inside

capillaries. For blood vessels, wall rupture may require high pressure amplitudes. In fact the disruption of blood flow and rupture of blood cells has been observed during acoustic streaming, resulting from the high intensity levels used in dentistry and above ([Laird and Walmsley, 1991](#); [Walmsley et al., 1987](#)).

Analytical review of the role of frequency in thermal and cavitation interactions raises fundamental questions about the differences in the mechanisms for bio-effects between low and high frequency ultrasound. Section 3 elaborates on this.

## 3. Comparison of biological effects in LF and HF ultrasound

Among the large range of parameters which contribute to the bio-effects of ultrasound, frequency plays a major role in the differences seen in biological effects between LF and HF ultrasonic exposures.<sup>5</sup> From a physical point of view, these differences are not only the result of frequency dependent wave parameters, but also of the frequency dependence of the characteristics of the coupling and propagation media (absorption coefficient, penetration depth, linear resonant radius of cavitation bubbles, and cavitation threshold).

### 3.1. Thermal effects

The significance of thermal effects rises with increasing frequency. Thermal effects depend on the absorption coefficient of the tissue. As discussed in Section 2.1 this parameter increases monotonically with frequency for soft tissues. The temperature induced in tissue is directly proportional to the absorption coefficient ([Nyborg, 2001](#)). Thermal and cavitation thresholds are not generally independent. However, at medium intensities (where the temperature rises are significant, but cavitation effects do not predominate ([Wells, 1977](#))), thermal effects are expected to increase with frequency becoming most significant at Megahertz frequencies.<sup>6</sup>

Bio-effects occur with thermal exposures equivalent to 41–45 °C for at least 5 min ([Kenneth et al., 2007](#)). At these temperatures, and with controlled exposure times, thermal effects can have therapeutic consequences such as an increase in the blood flow or reduction in muscle spasm ([Speed, 2001](#)). Significant increase in temperature at the transducer surface and sustained exposure to high temperatures can have many harmful effects in the skin and underlying tissues including burns, epidermal detachment and necrosis of tissues. Accordingly, to control and minimize thermal effects, transducer surface temperature is controlled by periodic replacement of the coupling medium as normally advised in LF applications including sonophoresis ([Polat et al., 2011a,b](#); [Tezel et al., 2002](#)).

### 3.2. Cavitation effects

The most important parameters for acoustic cavitation are: the acoustic frequency, intensity, exposure time and the availability of the cavitation nuclei. While the decrease in frequency lowers the threshold for transient cavitation (Section 2.2), it also affects the cavitation nuclei size and consequently the location of cavitation phenomena. To provide a comprehensive investigation of the effect of frequency on the location of cavitation phenomena, cavitation effects in the coupling medium, in the skin and in soft tissue are compared here for LF and HF ultrasound.

<sup>4</sup> A similar monotonous increase exists for frequencies up to 15 MHz ([Shung, 2008](#)).

<sup>5</sup> Airborne ultrasound is highly damped in air with the increase of frequency ([Crocker, 1998](#)). Consequently, airborne bio-effects more correspond to low frequencies in industrial ultrasound. As a result comparison of high and low-frequency bio-effects is more meaningful for contact ultrasonic exposure.

<sup>6</sup> Lower than 15 MHz ([Shung, 2008](#)).

### 3.2.1. Cavitation in the coupling medium

Contact based ultrasound exposure requires a coupling medium, to enable waves to penetrate the body. The impedance of the coupling medium should be similar to that of the skin in order to minimize reflection. Coupling media are typically aqueous formulations, often gels. Gels have higher viscosity than aqueous solutions and accordingly are more resistant to cavitation induction. The amount of transient cavitation generated in the coupling medium has been shown to increase with decreasing ultrasound frequency in the range of 41–445 kHz (Ueda et al., 2009). The main explanation for this increase is the monotonous decrease of cavitation threshold at lower frequencies (as described in Section 2.2).

Oscillating bubbles are subject to several forces in an ultrasound field. When the radius of the bubble is sufficiently small, (such that it is does not travel to the surface of the liquid by the upward buoyant force), the primary Bjerknes force can cause translational bubble motion in the acoustic field (Leighton et al., 1990). This force pushes bubbles smaller than the resonant size (at the applied frequency of the wave), toward pressure antinodes, and bubbles larger than the resonant size toward pressure nodes (Leighton et al., 1990). The effects of the primary Bjerknes force become more important at the longer wavelengths of LF ultrasound (Polat et al., 2011a,b). The distance between nodes and antinodes in a pressure field is a quarter wavelength  $\lambda$ . For longitudinal ultrasonic waves, the transducer face is a pressure node. The distance between the ultrasound transducer (pressure node) and the skin for LF ultrasound is normally less than  $\lambda/4$ , corresponding to the first pressure antinode ( $\lambda/4 = 1.9$  cm in water at 20 kHz, compared to 0.19 cm at 2 MHz). Therefore primary Bjerknes forces will push any small bubbles produced between the transducer and the skin toward the surface of the skin. This will increase the population of bubbles in the vicinity of the skin in the coupling medium. With the increase of intensity the asymmetrical explosion of these bubbles may cause micro-jets which can destroy the outmost layer of the skin and generate bio-effects (Tezel and Mitragotri, 2003).

Cavitation may occur in the coupling medium for both low-frequency and high frequency ultrasound. However, the effects of such cavitation are more intense at low frequencies. This intense cavitation effect in coupling media at low frequencies has been observed in sonophoresis applications and described as a major difference between low and high frequency ultrasonic exposures in a recent review of ultrasound-mediated transdermal drug delivery by Polat et al. (2011a,b). Tezel and Mitragotri (2003) used an aqueous coupling medium and placed aluminium foil in the position of the skin. A large amount of pitting, attributed to the effect of micro-jets in the aqueous coupling hitting the foil, was observed on the aluminium foil surface. Another study by Yamashita et al. (1997) showed electron microscopy images of the surface of the skin after LF exposure indicating that ultrasound had induced large openings in the outermost layer of the skin possibly due to micro-jets in the coupling medium impinging on the skin surface (see Table 4 in Section 4). These findings, along with theoretical analysis and experiments to determine cavitation location, suggest that cavitation in the coupling medium near the skin surface is more intense at low frequencies than high, and is considered to be the primary cause of bio-effects (local destruction of skin surface) in LF sonophoresis applications (Tang et al., 2002; Tezel and Mitragotri, 2003; Tezel et al., 2002; Wolloch and Kost, 2010; Polat et al., 2011a,b).

Therefore, for the safety of LF ultrasonic exposures, the use of exposure protocols that suppress cavitation in the coupling medium is advised in order to eliminate unwanted cavitation effects which may damage the skin. These include the degassing of the coupling medium, placing the ultrasound transducer in contact with the skin (to minimize the effect of primary Bjerknes forces), or using high viscosity coupling media (e.g. castor oil (Tang et al., 2002)).

### 3.2.2. Cavitation inside the skin

A critical difference between cavitation-induced bio-effect mechanisms for high and low frequencies is the location of cavitation in the skin (Polat et al., 2011a,b). Human skin is comprised of different layers, including the stratum corneum (the outermost layer which is in contact with the coupling medium), epidermis, and dermis (Baroli, 2010; Cevc and Vierl, 2010). Cavitation is unlikely to occur in the tangled structure of cells in the stratum corneum and is most likely in the crevices of the skin (such as hair follicle shafts, sweat glands ducts, etc). These become filled with the coupling medium applied to the skin, and may be the sites where bubbles form, grow and attain resonant size prior to transient collapse (Simonin, 1995).

For low-frequency ultrasound, the size of potential voids in the tightly packed lipid bilayers of the stratum corneum is much smaller than the linear resonant bubble radius ( $R_r$  being 150  $\mu\text{m}$  at 20 kHz for water while the typical diameter of a void is about 5  $\mu\text{m}$  (Scheuplein, 1967)). Therefore, cavitation within the skin is not a likely mechanism for effects produced by low-frequency ultrasound. This has been investigated by Tang et al. (2002) in a comprehensive series of experiments on the selective suppression of cavitation inside and outside the skin for low-frequency sonophoresis. Tang et al. demonstrated that eliminating cavitation (while preserving other thermal and non-thermal effects) suppressed any changes in skin permeability. Cavitation was thus thought to be the main cause of structural changes in the skin for low-frequency sonophoresis. Cavitation elimination was accomplished by increasing the ambient pressure on the skin sample. The cavitation threshold in a liquid increases with rising ambient pressure (Leighton, 1997). Increasing the ambient pressure can thus control the onset of cavitation activity in a medium, while non-cavitation effects of ultrasound, including thermal effects, radiation forces, and acoustic streaming are not affected by this change.

Tang et al. also used a highly viscous liquid, (castor oil) in place of water-based coupling medium. This completely suppressed cavitation effects outside the skin and eliminated any changes to the skin structure. It is important to note that the acoustic impedances of water and castor oil are similar to that of the skin, and therefore, the efficiency of energy transfer between the coupling medium and the skin is similar for both substances. Accordingly, it was concluded that cavitation inside the skin is not significant for low-intensity, low-frequency exposures (Tang et al., 2002) (see the details in Section 4, Table 4). This is completely different from high frequency ultrasound where the size of the skin crevices is comparable with the linear resonant bubble radius and cavitation within the skin may be significant for frequencies in the range 1–16 MHz (Bommannan et al., 1992; Mitragotri et al., 1995b).

### 3.2.3. Cavitation inside the body

While cavitation inside and outside the skin has been widely investigated for sonophoresis applications (Lavon and Kost, 2004; Mitragotri, 2005; Mitragotri and Kost, 2004; Ogura et al., 2008; Pahade et al., 2010), cavitation in the tissue layers beneath the skin and sub-cutaneous tissues at low-intensity LF exposures has received less attention. However, the penetration depth of ultrasound (being inversely proportional to the tissue attenuation coefficient) increases at lower frequencies. Therefore a study of possible harmful effects of LF ultrasound should consider sub-cutaneous tissues and organs.

The threshold for onset of cavitation depends on the presence of cavitation nuclei of appropriate size. For human blood, bubbles have not been observed to occur naturally in vivo except in the digestive and respiratory tracts (Hitchcock, 2010; Miller, 1987), although, as seen in some pathological conditions such as decompression sickness, pre-existing nuclei may exist in the blood stream

(Harvey et al., 1944; Hitchcock, 2010). The induction of cavitation bubbles at the acoustic pressures used in medical therapies, even shock wave lithotripsy, has proved very difficult in blood vessels, especially in regions distant from gas or solid interfaces (Ivey et al., 1995). Thus, given the absence of cavitation nuclei in blood, cavitation at low acoustic pressures in vivo depends on the injection of stabilized bubbles (Hitchcock, 2010).

A survey of bio-effects induced by LF exposure is provided in Section 4.2. This reviews the literature involving cavitation and thermal bio-effects of LF ultrasound in different parts of the body.

#### 4. Survey of health hazards from LF ultrasound exposure

The following survey of hazards covers airborne and contact exposure for both medical and industrial ultrasound applications. Low-frequency medical ultrasound applications mostly involve contact exposure whereas industrial applications may involve both contact and airborne exposures.

##### 4.1. Airborne LF ultrasound exposure

Since the air/tissue interface acts as a highly reflective boundary for ultrasound, the impact of airborne ultrasound on the human body is mainly confined to external body organs such as the ear and the eye (EHDC, 1991; IPCS, 1982; Dalecki, 2004). Low-frequency airborne ultrasound has been extensively studied (Acton, 1974, 1983; Acton and Carson, 1967; Acton and Hill, 1977; Allen et al., 1948; Hill and ter-Haar, 1982; Howard et al., 2005; Repacholi, 1981). Among these, two major reviews by the WHO (1982) and EHDC (1991), have provided the rationale for the derivation of the safety limits of LF airborne exposure by EHDC (1991). These are considered to provide a general consensus among the standard organizations on exposure limits of this type.<sup>7</sup> The following subsection includes a summary of the most significant findings of these two reviews. Airborne exposure has been defined as providing three categories of hazard: heating and cavitation, hazards for the auditory system and subjective hazards. A summary of these effects is provided in Table 3.

Neppiras (1980) demonstrated that airborne ultrasound will not lead to cavitation in the human body for sound pressure levels (SPL)<sup>8</sup> less than 190 dB. Accordingly, at lower SPLs, the effect of heating is likely to be more important. Parrack (1966) has calculated that SPLs above 180 dB (equivalent of  $100 \text{ W cm}^{-2}$ ) could be lethal to humans as a result of heating. In LF ultrasound at SPLs greater than approximately 155 dB, acute harmful thermal effects can occur in humans exposed to airborne ultrasound (Allen et al., 1948). The temperature of the human body can be raised rapidly to damaging levels at SPLs above 155 dB (EHDC, 1991), while slight heating of the skin is observed at sound pressure levels of 140–150 dB (Acton, 1974; Parrack, 1966).

Airborne ultrasound has been recognized as a possible cause of biological effects in the human auditory system (HAS). The pinna acts as an efficient impedance matching device for high frequency airborne sound, and therefore easily absorbs ultrasonic frequencies. The application of the resulting radiation forces to the ear structure may lead to temporary threshold shift (TTS) in sound perception at high sound pressure levels (Acton, 1974; IPCS, 1982). In addition,

studies have shown that ultrasound is able to cause hearing effects at high SPLs as a result of being modulated down to the audible frequency range in the middle ear causing generation of audible sub-harmonics (Dalecki, 2004). The adverse effects of industrial airborne ultrasonic exposure in the HAS occur at lower sound pressure levels than those which give rise to heating (Lawton, 2001). Pressure levels lower than 110 dB have not been demonstrated to cause hearing loss (Acton and Hill, 1977; Grigoreva, 1966; Parrack, 1966). Other physiological effects of airborne ultrasound are likely to occur only at SPLs greater than or equal to those which would lead to TTS (EHDC, 1991).

Exposure to ultrasonic radiation, when sufficiently intense, appears to result in a syndrome involving nausea, headache, vomiting, pain, disturbance of coordination, dizziness, and fatigue in some people. These effects are subjective and have mostly been recognized as being a result of the reaction of the central nervous system to ultrasound in response to HAS excitation (EHDC, 1991). The type of symptom and the degree of severity appear to vary depending upon the actual spectrum of the ultrasonic radiation and the susceptibility of the exposed individual, and in particular their hearing perception for ultrasonic frequencies (Table 3).

##### 4.2. Contact LF ultrasound exposure

In order to minimize or control the bio-effects of LF contact exposures, the focus should be on the threshold intensities at which bio-effects appear. Table 4 summarizes the most significant literature reports, indicating the hazards of low-intensity applications of LF exposure. As observed in this table, the literature on the safety of this type of exposure is sparse and is mainly concerned with sonophoresis applications (Mitrugotri, 1996, 2005; Mitrugotri and Kost, 2004; Lavon and Kost, 2004; Machet and Boucaud, 2002; Ogura et al., 2008; Pahade et al., 2010; Smith, 2007).

The work of Nagel and Nagel (1999) on human blood, suggests that continuous exposure with LF ultrasound at a pressure level of 20 kPa (180 dB) even for 1 min can be lethal, resulting in the total destruction of blood cells. This experiment was conducted in vitro.

To evaluate the safety of LF ultrasound for blood–brain barrier disruption, Schneider and Gerriets (2006) tested continuous contact exposures to rat skulls at 20 kHz for 20 min. They concluded that while no changes were detected in rat brains at intensities below  $0.2 \text{ W cm}^{-2}$ , intensities of 0.5 and  $1.1 \text{ W cm}^{-2}$  resulted in accumulation of water in the intracellular and/or extracellular spaces of the brain. They reported significant neuron loss and death of brain cells for continuous exposures of  $2.6 \text{ W cm}^{-2}$  for 20 min.

Some body tissues, most notably adult lung and intestine, contain pre-existing gas bodies, and are therefore most vulnerable to cavitation effects (AIUM, 2000). The threshold for intestinal damage in the mouse using lithotripter shock waves is estimated to be between 1.4 and 3.5 MPa peak negative pressure (Miller and Thomas, 1995). For shock wave pulses of 10  $\mu\text{s}$  duration at a pulse repetition rate of 100 Hz at center frequencies above 0.7 MHz, the thresholds for intestinal hemorrhage remained in the MPa range (Dalecki et al., 1995).

O'Brien and Zachary (1994, 1996) performed a series of investigations of lung damage resulting from exposure to continuous ultrasound at 30 kHz. They derived a threshold pressure level of 145 kPa for damage at all exposure durations of 5, 10, 20 min. At this level, severe damage of lung tissue and blood entering the chest cavity was seen in mice in vivo. The data from the mouse model is not directly applicable to the human because of the differences in lung structure. However, extrapolation of the threshold findings from animal models (mice, rabbit and pig) suggested that the threshold for human lung hemorrhage damage might be  $\sim 500 \text{ kPa}$

<sup>7</sup> The only exception to the general agreement is the safety limits of US Occupational and Safety Administration (OSHA) which from 2004 has complied with the recommendations of the American Conference of Government Industrial Hygienists (ACGIH, 2001) to increase the exposure limits of Environmental Health Directorate of Canada under certain conditions (Howard et al., 2005).

<sup>8</sup> The conversion from SPL in dB to intensity,  $I$ , in  $\text{W cm}^{-2}$  is given by  $I = 10^{(\text{SPL} - 160)/10}$  in air. Thus, a SPL of 160 dB has an intensity of  $1 \text{ W cm}^{-2}$  (EHDC, 1991).

**Table 3**  
Heating, auditory and subjective bio-effects of airborne ultrasound.

Author	Exposure subject	Frequency	Exposure duration	SPL	Results	Type of effect
Allen et al. (1948)	Mice/insects	20 kHz	10 s to 3 min	160–165 dB	Reported the death of insects and mice as a result of exposures ranging from 10 s to 3 min a result of ultrasonic heating. Other effects including tissue rupture were observed	Heating as the result of sound absorption
	Human			165 dB	<ul style="list-style-type: none"> <li>- Caused burns almost instantly at these levels as a result of local heating in the crevices between fingers</li> <li>- Painful heating occurred after several seconds of exposure of broad surfaces such as the palm of the hand.</li> </ul>	
Danner et al. (1954)	Mice	18–20 kHz	Up to 70 min	145–163 dB	Heating threshold is 144 dB for furred mice and 155 dB for shaved mice. Death of hairless mouse was observed after 8 min of exposure to the head at 20 kHz with SPL 162 dB.	Temporary hearing loss and other health effects in auditory system
Dobroserdov (1967), Parrack (1966)	Human	17–37 kHz	5 min	148 and 154 dB	Hearing sensitivity was reduced at the sub-harmonic frequencies. Recovery from the losses was rapid and complete	
Dobroserdov (1967)	Human	20.6 kHz	1 h	100 dB 120 dB	No significant effects were observed at SPL exposures of 100 dB. Significant loss of balance stability and reduced motor response time.	
Acton and Carson (1967)	Human	20 and 25 kHz	One day	101 dB	No temporary threshold shifts (TTS) in 15 out of 16 subjects	Subjective effects
Grigoreva (1966)	Human	20 kHz pure tone 16 kHz pure tone	1 h Not reported	110–115 dB 90 dB	No change in auditory sensitivity A TTS appears to have been observed	
Grzesik and Pluta (1980, 1983)	Human	10–18 kHz 20 kHz+	Every day	80–102 dB 100–116 dB	Hearing loss of approximately 1 dB/year in the frequency range of 13–17 kHz	
Skillern (1965)	Human exposure to a number of ultrasonic devices	10–31.5 kHz	Not reported	80 dB	Subjective effects were associated with devices which produced SPLs greater than 80 dB	
Acton and Carson (1967)	Human exposure to HF acoustic radiation with audible components	Up to and including 16 kHz  Greater than or equal to 20 kHz	One day	75 dB  110 dB	The 75 dB limit was defined as the threshold for the 1/3-octave band centered on 20 kHz. Subjects complained of fatigue, headache, nausea and tinnitus as a result of the ultrasonic exposure (Acton, 1968; Acton and Carson, 1967)	



**Table 4**  
Summary of the bio-effects of LF ultrasound contact exposure

Test Set	Body part	Exposure case	Coupling	Frequency/mode	Exposure duration	Intensity/power	Results	Author Comments	Author
Rats	Brain	In vivo	Water/Transducer at 5 mm above the skull	20 kHz/continuous wave	20 min	0, 0.2, 0.5, 1.1 and 2.6 W cm <sup>-2</sup>	No changes detected for the group of rats with low power output sonication (0.2 W cm <sup>-2</sup> ) Intensities of 0.5 and 1.1 W cm <sup>-2</sup> , caused cytotoxic edema  2.6 W cm <sup>-2</sup> caused significant neuron loss.	Low-frequency ultrasound represents a potential hazard to healthy brain tissue in a dose dependent fashion. The observed bio-effect was considered non-thermal.	(Schneider and Gerriets, 2006)
Human	Blood cells	In vitro	PBS (water based)	20–100 kHz/continuous wave	1 min	20 kPa	Total destruction of blood cells		(Nagel and Nagel, 1999)
Human	Blood vessels	In vivo	Gel based	29 kHz/Pulsed, duty cycle 30%, pulse repetition frequency 25 Hz.	1,2,3 min	I <sub>SATA</sub> (spatial-average, temporal-average intensity) of about 0.12 W cm <sup>-2</sup> .	Human brachial artery would be dilated when exposed to transdermal low-frequency ultrasound.	The mechanism through which the brachial artery is dilated by low-frequency ultrasound might be a result of local vibrations and stimulation of endothelial cells.	(Lida and Luo, 2006)
Pig	Lungs	In vitro	Water at 10 and 50 cm distance	22–36 kHz Pulsed (pulse length 4–12 ms with intervals of 0.5–1 s, duty cycle 0.5–1.5%)	2–20 h	SPL of 166–182 dB	No macroscopic lesions. Micro-bleeding was detected through microscopic examination.		(Shupak and Arieli, 1999)
Mice, rabbits and pigs	Lungs	In vivo	Water (distilled/degassed)	30 kHz/continuous ultrasound	5, 10, 20 min	0, 100 and 145 kPa (equal to 134–197 dB)	At an acoustic pressure level of 145 kPa, for all three exposure durations, severe lung damage occurred for mice, with blood in the chest cavity. Extrapolating the 30 kHz ultrasound threshold findings of animal models to man suggested that the human lung hemorrhage damage acoustic pressure threshold might be in the range of 500 kPa for a 10 min continuous wave exposure duration.		(O'Brien and Zachary, 1994, 1996)
Rabbit	Skin	In vivo	NR	105 kHz Pulsed, pulse length 5 s at 5 s intervals	90 min	5000 Pa pressure amplitude	Examination of the skin by the naked eye/Histological findings by microscope showed no damage to the skin upon ultrasound application.		(Tachibana, 1992)

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Table 4 (continued)

Test Set	Body part	Exposure case	Coupling	Frequency/mode	Exposure duration	Intensity/power	Results	Author Comments	Author
Mice and human	Skin	In vitro	Saline (water based)	48 kHz	5 min	0.5 W cm <sup>-2</sup>	The effect on mouse skin was much more significant than on human skin. Cells of the stratum corneum of the mouse skin surface were almost completely removed. Electron Microscopy of the surface of the skin shows that Ultrasound-induced large craterlike openings of diameter of 100 μm and injury to the stratum corneum of hairless mouse (as a possible result of micro-jets produced by transient cavitation in the coupling medium).	This effect was attributed mostly to cavitation.	(Yamashita et al., 1997)
Pig	Skin	In vitro/3 mm from the skin	PBS (water based)	19.6–93.4 kHz (continuous wave)	2–14 min	0.2–2.7 W cm <sup>-2</sup>	The data showed that for each frequency (in the range of 19.6–93.4 kHz), there exists a threshold intensity below which no detectable change (conductivity enhancement in the skin) was observed. The threshold intensity increased with frequency. The threshold intensity for porcine skin increased from about 0.11 W cm <sup>-2</sup> at 19.6 kHz to more than 2 W cm <sup>-2</sup> at 93.4 kHz. At a given intensity, the enhancement decreased with increasing ultrasound frequency.	The threshold intensities correspond to the transient cavitation in the aqueous coupling medium.	(Tezel et al., 2001)
Dog	Skin	In vivo	Saline (water based)	20 kHz, 6 s of every second (pulsed mode).	60 s	Maximal energy output of 400 W, intensities of 4%, 10%, 20%, 30% and 50% were applied using three probes, 1-cm cylindrical, 5-cm cylindrical, and 10-cm disc-shaped (diameter).	Low-frequency ultrasound at low intensities appears safe. Higher-intensity ultrasound produced significant thermal effects even second-degree burn.	Skin heating, particularly at the interface between epidermis and dermis appears to be the mechanism.	(Singer et al., 1998)
Human	Skin	In vivo/The transducer at a distance of 1 cm from the skin.	PBS (water based)	20 kHz, pulsed, (5 s on, 5 s off) duty cycle of 50%	2 min	10 W cm <sup>-2</sup> (spatial-average temporal peak intensity).	Human subjects reported no pain during ultrasound application and no visible effects of the ultrasound were detected on the skin. Low-frequency ultrasound used, did not seem to induce damage to skin or underlying tissues.		(Kost et al., 2000)

Mouse	Skin	In vitro/ 0.5 cm from the skin	Aqueous solution	20 kHz, Continuous wave, pulsed	4 h	$0.2 \text{ W cm}^{-2}$	Epidermal and dermal lesions with relatively low intensity $0.2 \text{ W cm}^{-2}$ continuous mode. The lesions were less marked with pulsed mode	The temperature of the skin is kept fixed at $37^\circ \text{C}$ . Cavitation is considered as the cause for skin modifications.	(Fang et al., 1999)
Human/rat	Skin	Rat skin (In vivo)/ Human skin (In vitro)	Saline (water based)	20 kHz Pulsed (10% duty cycle: 0.1 s on/0.9 s off); Continuous wave	10 min when used in continuous mode and 1 h in pulsed mode	$0.25 < I_{\text{SATA}} < 7 \text{ W cm}^{-2}$	The threshold for producing observable lesions in humans has been measured as $2.5 \text{ W cm}^{-2}$ (164 dB) regardless of the mode (continuous or pulsed). Higher intensities resulted in epidermal detachment and dermal necrosis (death of skin cells). Hairless rat skin exposed to the $2.5 \text{ W cm}^{-2}$ intensity showed slight and transient erythema and dermal necrosis after 24 h Human skin samples that were exposed to low-intensity ultrasound ( $< 2.5 \text{ W cm}^{-2}$ ) showed no histological change in vitro. For human skin the use of $5.2 \text{ W cm}^{-2}$ in pulsed mode resulted in epidermal detachment and edema of the upper dermis. Detachment of the epidermis and dermal necrosis could be seen with $4 \text{ W cm}^{-2}$ , (continuous mode, 10 min). A second-degree burn was observed macroscopically at the highest intensities ( $7 \text{ W cm}^{-2}$ in continuous and $12.3 \text{ W cm}^{-2}$ in pulsed mode).	The ultrasound-induced necrosis mechanism is a non-thermal effect and typically arises from cavitation.	(Boucaud et al., 2001b)
Rat	Skin	In vivo	Saline (water based)	20 kHz/pulsed (pulse length 0.2, 1.6 and 3.2 s)	15, 60 min	$2.5, 5, 10 \text{ W cm}^{-2}$	With $2.5 \text{ W cm}^{-2}$ , macroscopic and microscopic appearance was normal immediately after sonication. However, overt macro and microscopic lesions appeared 24 h later, thus demonstrating the delayed constitution of ultrasound-induced lesions (Machet and Boucaud, 2002). The results are consistent with (Mitrageotri et al., 2000b), indicating that the changes induced by ultrasound is related to the ultrasound energy density $E$ (expressed as intensity*total application time).	Existence of an energy density threshold below which the effect of ultrasound (enhancement of insulin transport) cannot be detected.	(Boucaud et al., 2002)
Rats	Skin	In vivo	Insulin solution (water, at a distance of 1 cm)	20 kHz, pulsed mode 0.1 s on, 0.9 s off	Total exposure time 60 min, effective exposure time 6 min	$2.5, 10 \text{ W cm}^{-2}$	In microscopic study of exposed skins, no structural change was observed with $2.5 \text{ W cm}^{-2}$ while $10 \text{ W cm}^{-2}$ induced localized epidermal detachments that remained 24 h after the ultrasound exposure. This is consistent with previous findings (Singer et al., 1998) The intensity of $5 \text{ W cm}^{-2}$ applied In effective exposure time of 3 min did not result in any visible skin damage.	The safety is governed by the combination of the sonication parameters: intensity, net exposure time and pulse duration.	(Boucaud et al., 2000)
Rats/pig	Skin	In vivo	Saline (water based)	20 kHz, pulsed (20% duty cycle)	15 min	$5 \text{ W cm}^{-2}$	Structural modifications of skin induced by ultrasound at this exposure pattern, were transient.		(Boucaud et al., 2001a)

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Table 4 (continued)

Test Set	Body part	Exposure case	Coupling	Frequency/mode	Exposure duration	Intensity/power	Results	Author Comments	Author
Human/rat	Skin	Human in vitro/ rat in vivo	Saline (water based)	20 kHz	Pulsed 1 h, continuous 10 min	0.24–7 W cm <sup>-2</sup>	<p>For freshly excised human skin, the tolerance threshold for the absence of any observable morphological lesion is around 2.5 W cm<sup>-2</sup> for both pulsed or continuous mode.</p> <p>In the hairless rat model, in vivo and in vitro, 2.4 W cm<sup>-2</sup> induce delayed and deep lesions. Two days after. A histological section revealed an epidermal, dermal and muscle necrosis</p>	<p>The temperature after sonification on the mouse skin (in vivo) was less than 42 °C for intensities of 2.4 W cm<sup>-2</sup> in pulsed mode and 2.3 W cm<sup>-2</sup> (continuous mode) Non-thermal effects such as cavitation may be considered as the cause. Ultrasound can provoke delayed lesions that are located deeply under the skin surface.</p>	(Boucaud et al., 1999)
Rat	Skin	In vitro	NR	20 kHz, pulsed (100 ms pulses every second)	1 min–4 h	12.5–225 mW cm <sup>-2</sup>	<p>No physical damage in the skin or in the underlying muscle tissues exposed to ultrasound was observed using scanning electron microscopy at all the intensities used in the experiments.</p>		(Mitrugotri et al., 1995a)
Pig	Skin	In vitro, at 1 cm distance from the skin	PBS (water based)	20 kHz, pulsed (0.1 s on, 0.9 s off; 5 s on, 5 s off)	15,30,45,60 min	1.6 and 14 W cm <sup>-2</sup>	<p>The skin conductivity varies linearly with ultrasound intensity and exposure times. This linearity may break down at higher intensities (<math>I &gt; 15 \text{ W cm}^{-2}</math>) due to other effects such as 'acoustic decoupling' Sonophoretic skin conductivity depends on the total energy density of ultrasound. The threshold energy density may depend on other ultrasound parameters such as frequency.</p> <p>(i) there exists a threshold ultrasound energy below which the effect of ultrasound on skin conductivity cannot be detected,</p> <p>(ii) beyond the threshold value, the effect of ultrasound on skin permeability is similar if the total energy density delivered to the skin is maintained constant.</p>	<p>The similarity between the parametric dependence of skin conductivity enhancement and cavitation is consistent with previous findings that cavitation plays the dominant role in sonophoresis.</p>	(Mitrugotri et al., 2000a)

Rat	Skin	In vivo	PBS	20 kHz, pulsed, 100 ms pulses applied every second	1 h	125 mW cm <sup>-2</sup>	Application of ultrasound changes skin permeability properties but it does not appear to cause any long-term damage to the barrier properties of the epidermis. No physical damage to the skin and underlying tissues of hairless rats exposed to low-frequency ultrasound. The regions of hairless rat epidermis exposed to ultrasound were intact and showed no signs of abnormality. The results clearly indicate that ultrasound-induced cavitation in the coupling medium is the key mechanism of skin permeabilization during low-frequency sonophoresis. This indicates that at an ultrasound frequency of 20 kHz, cavitation occurs solely outside the skin. The findings indicate that low-frequency-induced skin permeabilization results mainly from the direct impact of cavitation bubbles collapsing in the coupling medium on the skin surface.	Cavitation, is probably the major mechanism by which ultrasound enhances transdermal delivery.	(Mitrugotri et al., 1996)
Pig	Skin	In vitro, 0.8 cm above the skin	Castor oil/ PBS (water based)	20 kHz, pulsed mode, 0.1 s on, 0.9 s off	2 h	1.6–33.5 W cm <sup>-2</sup>	At intensities greater than 1.6 W cm <sup>-2</sup> , external cavitation begins to occur in the castor oil as the coupling medium. Therefore, a maximum ultrasound intensity of 1.6 W cm <sup>-2</sup> was tested for the castor oil studies	(Tang et al., 2002)	

for 10 min continuous wave exposure to 30 kHz (O'Brien and Zachary, 1994).

The effect of contact exposure on the skin has been widely studied. Tang et al. (2002) focused on eliminating cavitation in the skin at 20 kHz ultrasound in pig skin in vitro. Using a high viscosity fluid (castor oil) as the coupling medium, he managed to suppress cavitation in the coupling medium for a maximum intensity level of 1.6 W cm<sup>-2</sup> (the cavitation threshold in castor oil). Based on this work, cavitation effects can be completely suppressed inside and outside the skin using castor oil for up to 2 h in pulsed mode with a maximum intensity level of 1.6 W cm<sup>-2</sup> at 20 kHz (Table 4).

The fact that cavitation does not occur at low frequencies in the stratum corneum has been explained in Section 3.2.2, however, more experiments may be required to answer safety concerns about eliminating cavitation effects in deeper sub-cutaneous tissue layers. Boucaud et al. (2001b) used an exposure of 20 kHz continuous mode at 2.5 W cm<sup>-2</sup> for 10 min on rats in vivo and reported that the use of a plastic film (4 mm thickness) between the aqueous cavitation medium and the rat skin seemed to prevent any changes in the outermost layer of the skin in vivo. However, his findings are impressive since deep lesions and necrosis were observed in the muscle cells 24 h after exposure. These delayed bio-effects were thought to be of non-thermal origin. Boucaud's work may have a twofold significance. Firstly continuous exposure to intensity of 2.5 W cm<sup>-2</sup> for 10 min may be hazardous for rats in vivo even when cavitation effects outside the skin are eliminated. Secondly, the bio-effects of ultrasound can be observed after a time delay. Accordingly, an important requirement when investigating the safety of LF contact exposures is the assessment of delayed bio-effects.

Tang et al. (2002) suggested that cavitation in the skin can be avoided by the use of viscous coupling media provided the intensities used are below the cavitation threshold in that media. The upper limit of safe exposure in this case may be addressed by derivation of mechanical indices for LF ultrasound contact exposures as discussed in Section 6.2.

If instead of eliminating cavitation effects at the skin, controlled cavitation in the coupling medium is required (e.g. as in sonophoresis applications), the question turns to thresholds for cavitation in the coupling medium which are safe for human skin, (i.e. produce reversible changes). Using an aqueous coupling medium, the threshold to produce observable lesions in human skin were determined by Boucaud et al. (1999) to be 2.5 W cm<sup>-2</sup> for human skin at 20 kHz in vitro (1 h exposure to pulsed and 10 min to continuous wave). This can be considered a hazard limit for contact exposure to human skin (in vitro) when cavitation in the coupling medium is permitted.

In addition Tezel et al. (2001), using water-based coupling medium, determined the threshold value below which no detectable change was observed in pig skin in vitro for continuous exposure at frequencies in the range 19.6–93.4 kHz. The threshold increased with frequency from about 0.11 W cm<sup>-2</sup> at 19.6 kHz to more than 2 W cm<sup>-2</sup> at 93.4 kHz. These values provide an estimate of safe exposure limits for LF contact ultrasound applied to the human skin when no cavitation is wanted in the water-based coupling mediums. It is important to note that in the animal models used to study human skin (mainly for drug delivery applications), the experimental results in pig skin provide the most relevant data for human skin (Testa, 2001).

Another important finding is that of Mitrugotri and Tezel et al. who indicated that when increasing the pressure beyond the threshold for the coupling medium (which is frequency dependent as mentioned above), the effect of ultrasound on skin strongly depends on the total energy density of ultrasound calculated as:  $E = \text{ultrasound intensity} \times \text{net exposure time}$  (Mitrugotri et al.,

2000a; Tezel et al., 2001, Boucaud et al., 2002). With increasing intensity, this dependence continues until another threshold, the decoupling threshold, is reached at which the transducer is isolated from the coupling medium as the result of the high cavitation bubble density on its surface. Beyond this level, changes in the structure of skin do not accumulate with the increase of intensity (Boucaud et al., 1999; Mitragotri et al., 1996; Yamashita et al., 1997). At 20 kHz, with pulsed mode and more than 15 min exposure time, the decoupling occurs at intensities above  $15 \text{ W cm}^{-2}$  for pig skin in vitro which is far in excess of the possibly hazardous limit of  $2.5 \text{ W cm}^{-2}$  advised by Boucaud et al. (1999, 2001b, 2002).

For thermal effects of LF ultrasonic contact exposure, Boucaud et al. (1999) have suggested a safe temperature limit of  $42^\circ\text{C}$  for the skin. For human skin in vitro (and also rat skin in vivo), at 20 kHz the skin surface temperature reached this limit for  $2.3 \text{ W cm}^{-2}$  for continuous exposure (10 min) and  $2.4 \text{ W cm}^{-2}$  for pulsed exposure (1 h, 10% duty cycle). At the same exposure frequency and time, intensities of  $7 \text{ W cm}^{-2}$  in continuous mode and 12.3 in pulsed mode caused second-degree burns in human skin in vitro (Boucaud et al., 1999).

## 5. Standards for low-frequency ultrasound safety

There is an emerging need to create safety standards for LF ultrasound, particularly in relation to determining safe limits for low-intensity, low-frequency ultrasonic exposure. Industrial applications of LF ultrasound do not involve deliberate human body contact exposure. Therefore existing industrial standards tend to focus on minimizing risks of airborne exposure and there is currently no standard covering ultrasonic contact exposure for industrial applications (Duck, 2007). Diagnostic medical ultrasound applications, by contrast, are predominantly contact methods and several standards exist to ensure the safety in diagnostic ultrasound (e.g. IEC, 2001a,b).

### 5.1. Current standards of medical LF ultrasound

For high intensity LF applications of dental scaling and surgical applications, no safety standard currently exists to control the bio-effects of ultrasound exposure (O'Daly et al., 2008; Pereira et al., 2010). Other measurement and safety standards from the IEC in this frequency range as listed in Table 5.

IEC standards for safety and essential performance of all medical electrical equipment are gathered in the 60601 series. IEC 60601 part 2, concerns medical ultrasonic applications. Currently there is no separate standard for emerging LF applications such as sonoporation products (Mitragotri, 2005). IEC (2001a) 60601 part 2-5 refers to particular requirements for the safety of ultrasonic physiotherapy equipment. Physiotherapy devices normally use frequencies of 750 kHz–3 MHz (Kenneth et al., 2007). This standard provides two safety limits. The first is to limit the temperature of the front face of the transducer to a maximum of  $41^\circ\text{C}$ . The second sets an upper limit of  $3 \text{ W cm}^{-2}$  for the “effective intensity” of ultrasound.<sup>9</sup> The effects of LF ultrasound on human skin have been agreed to arise from cavitation effects (Mitragotri and Kost, 2004). The maximum effective intensity limit of IEC (2001a) 60601-2-5 is  $3 \text{ W cm}^{-2}$  which is higher than the experimental limit of  $2.5 \text{ W cm}^{-2}$  proposed by Boucaud et al. (2001b) for LF ultrasound at 20 kHz in vitro. Accordingly, the IEC 60601-2-5 standard may not completely cover the safety requirements of the LF frequency range of interest.

<sup>9</sup> Effective intensity is the ratio of acoustic output power to effective radiating area (IEC, 2001a).

Guidance for safe limits of exposure in medical diagnostic ultrasound, comes from mechanical and thermal indices. The safe limits of these indices defined by the most significant medical diagnostic standards are summarized in Table 6. Extension of these indices to LF ultrasound requires further investigation as discussed in Section 6. In diagnostic applications, the mechanical index (MI) has a threshold of 0.3 and 0.7 in IEC (2001a,b) 60601. The limit of  $\text{TI} < 0.4$  in IEC 60601 provides the safest theoretical threshold below which no thermal effect should be observed at high frequencies. Another limit for the thermal index is recommended by the British Medical Ultrasound Society (BMUS) as  $\text{TI} = 0.7$  (Table 6). In the BMUS recommendations, for values of  $\text{TI} > 0.7$ , the safe exposure time limit is reduced with increasing thermal index (BMUS, 2009).

### 5.2. Current standards of industrial exposure

Contact ultrasound at high intensities during industrial applications is a potential route for exposure of the body to high power ultrasound and may cause severe damage. A detailed review of medical and non-medical standards of ultrasound has been written by Duck (2007) and indicates that there are currently no protection standards for industrial contact exposure of ultrasound.

There are three major guidelines on limits of airborne ultrasound exposure, prepared by the International Nonionizing Radiation Committee of the International Radiation Protection Association (IRPA, 1984), Environmental Health Directorate of Canada (EHDC, 1991), and the American Conference of Government Industrial Hygienists (ACGIH, 2001). Among these, the most conservative limits have been proposed by Environmental Health Directorate of Canada (EHDC, 1991). The Canadian guidelines (EHDC, 1991) set the same limits for occupational exposure as IRPA (1984) (Table 7). These levels are agreed by the International Commission on Radiological Units and Measurement (ICRU) for total exposure durations of 8 h per day (Duck, 2007). An increase in these limits is permitted by ICRU for shorter exposure times. For example, an increase in the intensity limit of +9 dB from 110 to 119 dB, is tolerated for exposure periods of less than 1 h (Duck, 2007). The Canadian guidelines provide no allowance for increasing thresholds for shorter exposure times. The stated rationale for this position is that subjective effects can occur almost immediately. Where ear protection may be used, a rise in the upper limit of thresholds as high as 137 dB is permitted.<sup>10</sup> Table 7 summarizes the occupational and public exposure limits of the IRPA and Canadian guidelines. While Canadian guidelines recommend the same exposure limits for occupational exposure and exposure to the public, IRPA (1984) has recommended lower thresholds for public exposure (70 dB at center frequency of 20 kHz and 100 dB for 25, 31.5, 40, 50, 63, 80, 100 kHz).

## 6. Safety limits for low-frequency contact ultrasound

The safe level of exposure for low-intensity, low-frequency ultrasound should be described by exposure parameters for which no detectable changes or controlled bio-effects in the biological tissues result from the ultrasonic exposure. This level of safety is required in many applications including ultrasonic speech (Ahmadi and McLoughlin, 2009; Dyball, 2010) and sonophoresis (Mitragotri, 2005). Using this concept, the current standards of contact exposure in diagnostic medical ultrasound may be referred to. Thermal

<sup>10</sup> 137 dB was introduced as the absolute hazard level SPL for airborne ultrasound in the Canadian guidelines and total linear measured SPL exposure to other parts of the body excluding the ear must never exceed this value (EHDC, 1991).

**Table 5**  
Therapeutic applications of LF ultrasound and applicable standards.

Intensity range	Name	LF exposure measurement standards	LF safety standards
Low intensity	Sonophoresis; Glucose Extraction	No standard for this specific frequency range so the following standards are implicitly applied: <ul style="list-style-type: none"> <li>• IEC 61689 ed2.0 – Physiotherapy systems – frequency range of 0.5–5 MHz</li> <li>• IEC 61161 ed2.0 – The measurement of ultrasonic power up to 1 W in the frequency range from 0.5 MHz to 25 MHz and up to 20 W in the frequency range 0.75–5 MHz.</li> </ul>	No standard for this specific frequency range so the following standard is implicitly applied: <ul style="list-style-type: none"> <li>• IEC 60601-2-5</li> </ul> Particular requirements for the safety of ultrasonic physiotherapy equipment.
High intensity	Microderm-abrasion	–	FDA 510k
	Dentistry	IEC 61205 Ed. 1.0 b: 1993 Ultrasonics – Dental descaler systems – Measurement and declaration of the output characteristics.	No standard for safety of dental cleaning (Pereira et al., 2010).
	Lithotripsy	IEC 61846 (1998-04) – Pressure pulse lithotripters – Characteristics of fields.	IEC (1997) 60601-2-36 – Safety of equipment for extracorporeally induced lithotripsy.
	Sonothrombolysis	–	IEC (2001a,b) 60601-2-37 – Particular requirements for the safety of ultrasonic medical diagnostic and monitoring equipment.
	Surgical	IEC 61847, Ultrasonics—Surgical Systems – Measurement and Declaration of the Basic Output Characteristics, 1998. Under development: IEC 62556 IEC 62555	No specific internationally accepted standards to reduce harmful tissue effects (O'Daly et al., 2008).
	Lipoplasty	–	510k (FDA)
	Phacoemulsification technologies	IEC standard 61847, Ultrasonics – Surgical systems – Measurement and declaration of the basic output characteristics, 1988.	–

and mechanical indices are used in IEC (2001a,b) 60601-2-37 for diagnostic ultrasound to alert the user to the bio-effects of HF exposures. This section investigates whether the same concept is directly applicable at low frequencies.

6.1. Analysis of thermal index for LF ultrasound

Thermal effects were shown in Section 2 to be frequency dependent. The thermal index (TI) is proportional to the acoustic power *W* being transferred to the tissue.

$$TI = \frac{W}{W_{deg}} \tag{5}$$

*W<sub>deg</sub>* is the acoustic power required to achieve an increase in temperature of 1 °C and is considered to be a tissue characteristic. With the decrease of frequency, the amount of power required to produce 1 °C temperature rise will increase, and the acoustic power transferred to the tissue (*W*) will decrease monotonically (O'Brien and Ellis, 1999). This indicates that when non-linear effects are insignificant, for the same value of sound pressure amplitude, the thermal index of kHz ultrasound is lower than its counterpart in the MHz range. Thus at a given intensity, the TI in the MHz frequency range will be higher than the low-frequency TI.

$$TI_{LF} < TI_{HF} \tag{6}$$

Applying the threshold to  $TI_{HF} < \text{Threshold}$  will consequently lead to  $TI_{LF} < \text{Threshold}$ . It can be concluded that since thermal

effects increase with rising frequency, compliance with the safe TI limits derived for diagnostic ultrasound at higher frequencies retains safety for thermal effects from low-frequency ultrasound.

6.2. Analysis of mechanical index for LF ultrasound

The Mechanical Index (MI) was initially introduced by Apfel and Holland (1991) as a means of quantifying the likelihood of biological effects from transient cavitation as

$$MI = \frac{P_r}{\sqrt{f}} \tag{7}$$

where *P<sub>r</sub>* is the peak rarefactional pressure in MPa and *f* is the frequency in MHz. This concept has been widely applied in the frequency range 0.5–15 MHz (Church, 2005), but the question remains whether the formulation of MI in (7) provides an appropriate index at low frequencies (below 500 kHz). This will be investigated in this section.

The formulation of MI in (7) is based on the solution of an analytic model developed by Apfel (1986), Holland and Apfel (1989) which describes the motion of a gas bubble in a liquid medium during sound propagation. The theory considers a stable bubble with initial radius *R<sub>0</sub>*, in a state of stable equilibrium inside a liquid medium with ambient pressure *P<sub>0</sub>*, density *ρ*, viscosity *μ*, and surface tension *σ*, initially at temperature *T<sub>0</sub>*. During the negative portion of the acoustic pressure field in the medium, the bubble can lose its stability and grow rapidly. This occurs if the pressure

**Table 6**  
Limits of safety indices for the most significant diagnostic ultrasound standards.

Standard	Applications	Mechanical index	Thermal index	Exposure time limit (min)
US Food and Drug – 510k (FDA, 2008)	All except ophthalmology	1.9	6	
	Ophthalmology	0.23	1	
IEC (2001a,b) 60601 British Medical Ultrasound Society (BMUS, 2009)		0.3–0.7	0.4–1	
		0.3 Restrict exposure time for lung and intestine	<ul style="list-style-type: none"> <li>• 0.7: Restrict exposure time</li> <li>• 1: not suitable for eye</li> <li>• 3: Hazard limit</li> </ul>	<ul style="list-style-type: none"> <li>• 60 min</li> <li>• 30 min</li> <li>• Less than 1<sup>a</sup></li> </ul>
		0.7 Potential hazard		

<sup>a</sup> Higher values are not recommended.

**Table 7**  
Occupational airborne exposure limits of sound pressure level (SPL).

1/3-octave band center frequency (kHz)	ACGIH (2001)	IRPA (1984), Canadian Guidelines (EHDC, 1991)
20	105	75
25	110	110
31.5, 40, 50, 63, 80, 100	115	110

amplitude is lower than the Blake threshold. The Blake pressure threshold is given by

$$P_B = P_0 \left( 1 + \frac{4}{9} X_B \sqrt{\frac{3X_B}{4(1+X_B)}} \right) \quad (8)$$

where  $P_0$  is the ambient pressure and  $X_B = 2\sigma/R_0P_0$  ( $\sigma$  is the surface tension of the liquid) (Leighton, 1997). The Blake threshold describes a static pressure change and does not explain the behavior of the bubble in a time varying acoustic field. If the bubble experiences transient cavitation as the result of an acoustic wave with frequency  $f$  and pressure amplitude  $P_r$ , the collapse will result a maximum temperature of  $T_m$  inside the bubble. Using the theory of Holland and Apfel (1989), the variation of pressure amplitude  $P_r$  with frequency  $f$  and initial bubble radius  $R_0$  can be written as

$$f = \frac{\frac{1}{3\pi R_0} \sqrt{\frac{P_0 \xi}{\rho}} \left( \sqrt{\frac{p-1}{p}} + \sqrt{\frac{p-p_b}{p}} \right)}{\left( \frac{T_m}{T_0(\gamma-1) \left( \frac{\xi}{3} + 1 \right)} \right)^{1/3} - 0.46 + \frac{4\mu}{R_0} \sqrt{\frac{2}{\rho P_0 \xi}} + \frac{2\sigma}{P_0 R_0} \left( \frac{1}{p-p_b} \right)^{3/2} \sqrt{\frac{\xi}{3}}} \quad (9)$$

where  $p$  is the normalized acoustic pressure  $p = P_r/P_0$ , ( $P_0$  is the ambient pressure),  $p_b$  is the normalized Blake threshold ( $p_b = P_B/P_0$ ),  $\gamma$  is the ratio of specific heats of the gas inside the bubble, and  $\xi$  is given as

$$\xi = p + p_b - 2 + \sqrt{(p-1)(p-p_b)} \quad (10)$$

Several different theoretical assumptions are used to solve the model described by (9). The main assumptions are: a) expansion and collapse of the bubble occur during a single acoustic cycle, b) The bubble experiences an adiabatic expansion and c) the maximum internal collapse temperature is  $T_m = 5000$  K in the gas trapped inside the bubble (Holland and Apfel, 1989). The temperature of 5000 K is considered to be high enough to produce potentially highly destructive free radicals during the collapse.

Replacing the characteristic parameters of the liquid, equation (9) simply describes the variation of threshold pressure  $P_r$  with frequency  $f$  and initial bubble radius  $R_0$ . To derive the pressure threshold in the frequency range 20–500 kHz, equation (9) is solved using the Newton–Raphson method, for an air bubble with initial radius ( $R_0$ ) in the range of 1–100  $\mu\text{m}$  ( $\gamma = 1.4$  for air bubbles). To maintain consistency with the work of Apfel and Holland (1991), two biological fluids of water and blood are considered, with the following values for density, surface tension, and viscosity, respectively: water:  $\rho = 1000$  kg/m<sup>3</sup>,  $\sigma = 72$  mN/m, and  $\mu = 0.001$  Pa s and blood:  $\rho = 1059$  kg/m<sup>3</sup>,  $\sigma = 56$  mN/m, and  $\mu = 0.005$  Pa s. The equilibrium hydrostatic pressure for both liquids

was taken as  $P_0 = 0.101325$  MPa = 1 atm, initial temperature  $T_0 = 300$  K and maximum collapse temperature  $T_m = 5000$  K. Fig. 1 demonstrates the variation of pressure threshold with initial bubble radius for the frequency range of 20–500 kHz in water.

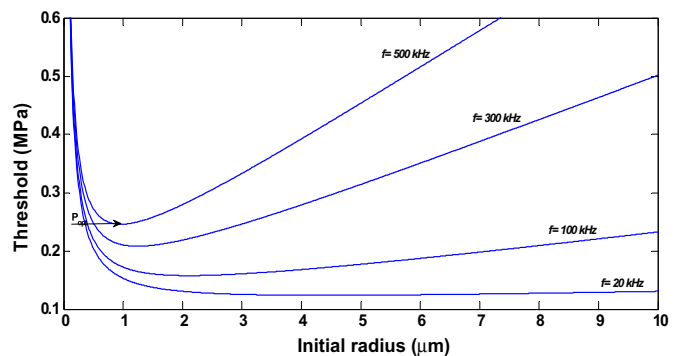
Next, the threshold for inertial cavitation is derived as a function of frequency in a fashion similar to that used by Holland and Apfel (Apfel and Holland, 1991; Church, 2005). As observed in Fig. 1, for each frequency point  $f$  in the range 20–500 kHz, there exists a bubble size requiring minimum acoustic pressure to undergo transient cavitation at that frequency. The minimum acoustic pressure associated with this bubble size is the cavitation threshold  $P_t$  at frequency  $f$ . Repeating this procedure for each frequency in the range 20–500 kHz, gives the variation of threshold pressure  $P_t$  with frequency  $f$ . Fig. 2 demonstrates the variation of cavitation threshold for water and blood in the 20–500 kHz range.

Fitting the calculated data in Fig. 2, to the power law with  $P_t$  in MPa and  $f$  in MHz gives

$$P_t = A + Bf^n \quad (11)$$

where  $A = 0.10$ ,  $B = 0.216$ ,  $n = 0.6$  for water and  $A = 0.11$ ,  $B = 0.26$ ,  $n = 0.68$  for blood with the sum of least square errors being  $9 \times 10^{-3}$ ,  $9 \times 10^{-7}$  respectively. These fitting results are plotted in Fig. 2. The water threshold data is also fitted to  $P_t = Bf^n$  since this is the basis of the definition of mechanical index (Apfel and Holland,

1991), which results in  $B = 0.28$ ,  $n = 0.25$  with the sum of least square errors being 0.0019. Fitting the data to  $P_t = Bf^{1/2}$  results in  $B = 0.38$  and the least square errors of 0.06. This means while use of  $P_t = Bf^{1/2}$  as the basis for the formulation of MI in (7) can still be considered valid in the LF range, it is less accurate in this range. With the decrease in frequency, the limit of the pressure threshold does not approach zero (as predicted by  $P_t = Bf^{1/2}$ ) but, approaches the Blake threshold in a static under-pressure condition (Leighton,



**Fig. 1.** Cavitation threshold of water as a function of initial nucleus radius of air bubbles for four ultrasonic frequencies of 20, 100, 300 and 500 kHz. The assumptions of the calculations are those of Apfel and Holland (1991): initial bubble temperature of 300 K, growth of bubbles in a single cycle of ultrasound and adiabatic collapse at a temperature of 5000 K.



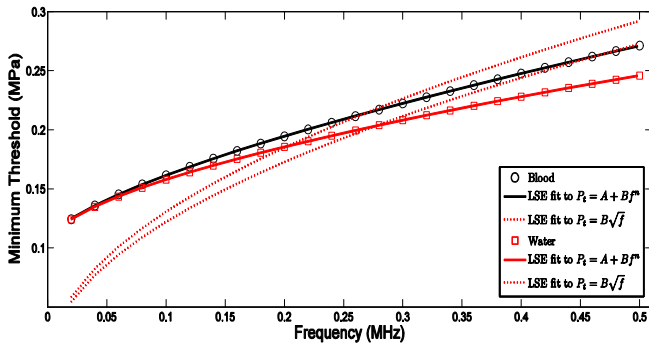


Fig. 2. Variation of the minimum cavitation threshold for optimum sized bubbles, in water and in blood for frequency range of 20–500 kHz using the theory of Holland and Apfel (1989) and assuming all nuclei sizes are present. The square and circle marks, show the calculated values of threshold. Solid lines represent least square fit of the calculated data to power law  $P_t = A + Bf^n$  with  $A = 0.10$ ,  $B = 0.216$ ,  $n = 0.6$  for water and  $A = 0.11$ ,  $B = 0.26$ ,  $n = 0.68$  for the blood. Dashed lines represent the least square fit to  $P_t = Bf^{1/2}$  with  $B = 0.38$  for water and  $B = 0.41$  for blood.

1997). This is consistent with the observation of Church (2005) indicating that a value of MI that is safe in terms of the likelihood of a cavitation-induced adverse biological effect at high frequency may not be equally safe at low frequencies.

Fig. 3a demonstrates the variation of the MI using the classic definition (7) over the frequency range of 20 kHz–3 MHz. As observed in this figure for the frequency range of 20–500 kHz, the MI based on the classic formulation deviates significantly from the curve for high frequencies. Having observed the discrepancy in the definition of MI with calculated data, a modified index for the threshold of inertial cavitation in the LF range can be proposed.

It is known that when the frequency approaches zero, the pressure threshold approaches the Blake threshold (Leighton, 1997). With the decrease of frequency, the linear resonant radius  $R_r$  increases based on (3) and the size of the optimal cavitation nuclei ( $R_0$  being in the order of  $R_r/3$ ) also increases (Crum, 1999). With the increase of  $R_0$  in (8), the Blake threshold approaches  $P_0$ .

Accordingly, in fitting the calculated data to  $P_t = A + Bf^n$ , when  $f$  approaches zero,  $A$  should have a value close to  $P_0$ . This is consistent with the best fit of  $P_t = A + Bf^n$  to the calculated threshold data over the frequency range of 20–500 kHz. With mean values of  $A = 0.105$ ,  $B = 0.238$ ,  $n = 0.64$  for water and blood,  $A$  can be safely replaced with  $P_0$  (which was 0.101325 MPa in this model). Consequently, the modified index  $MI_{LF}$  can be written as

$$MI_{LF} = \frac{P - P_0}{\sqrt{f}} \tag{12}$$

where  $P$ ,  $P_0$  are in MPa and  $f$  is in MHz. Fig. 3a and b shows the variation of the classic definition of MI derived by (7) and the proposed low-frequency index  $MI_{LF}$  for the frequency ranges 20 kHz–3 MHz and 20 kHz–15 MHz respectively. As observed in these figures, the modified index provides less deviation in the LF range. In addition the mathematical basis of this index ( $P_t = A + Bf^n$ ) better describes the calculated data in Fig. 2. Accordingly the formula in (12) provides a more accurate index for describing cavitation in the frequency range of 20–500 kHz.

### 7. Conclusion

Low-frequency (20–100 kHz) ultrasound has a wide range of therapeutic and industrial applications. In addition, novel emerging usages are being introduced in this range, including transdermal drug delivery (Mitragotri, 2005), blood–brain barrier disruption (Schneider and Gerriets, 2006) and ultrasonic speech (Ahmadi and McLoughlin, 2009) which subject the human body to this type of exposure. The gaps in the existing medical and industrial standards for LF exposure and the introduction of new trends in this frequency range increase the importance of safety requirements and study of hazards especially for low-intensity LF exposure.

Bio-effects mechanisms are generally inter-dependent. At low intensities, thermal effects are less significant for LF exposures than for high frequency applications. This analysis is valid where non-linear effects of ultrasound are limited. Micro-streaming and radiation forces have been found to have minor effects for low-intensity LF exposure. However, cavitation effects may cause additional thermal effects which have not been included in the above analysis. Accordingly, to provide safety, and minimize thermal effects especially when cavitation is permitted in the coupling medium, the temperature should be controlled with periodic replacement of the coupling medium as normally advised in LF applications such as sonophoresis (Polat et al., 2011a,b; Tezel et al., 2002).

Low-frequency sonophoresis is currently a major application of low-intensity LF exposure. Using theoretical and experimental analysis of LF and HF sonophoresis applications, it was shown that cavitation outside the skin and inside the coupling medium is most significant in generating bio-effects in the skin during contact LF exposures. Meanwhile cavitation inside the skin and especially in the outermost layer of the skin was found to be insignificant at low frequencies with the low-intensity levels used in sonophoresis. Accordingly for safety, when minimizing cavitation bio-effects in the skin in contact with the transducer is desired, cavitation in the coupling medium should be controlled.

It should be noted that LF ultrasound has a lower cavitation threshold and greater penetration than HF exposure. Accordingly the possibility of cavitation at low intensities in other parts of the body beneath the skin may require further experimental investigation. A survey of the bio-effects of LF low-intensity exposure has been conducted to review the current experimental data concerning the hazards and safe limits of LF exposure for several parts of the body. For the brain (which is subject to exposure in blood–brain barrier disruption for drug delivery) and the lungs,

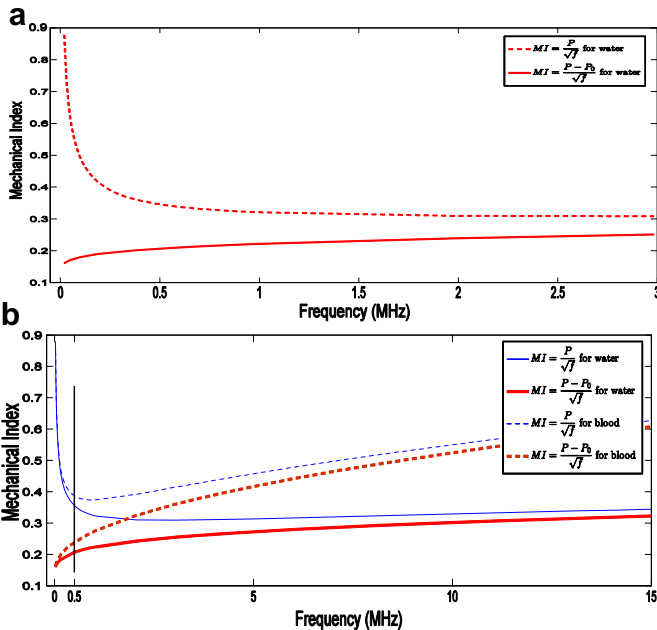


Fig. 3. Variation of the mechanical index based on the classic, equation (7), and modified definition, equation (12), for: a) LF and therapeutic ultrasonic range of 20 kHz–3 MHz in water. b) Frequency range of 20 kHz–15 MHz in water and blood.

further research is required to determine hazard thresholds for low-intensity LF exposure.

An important aim of this work is to propose a quantitative approach to safety for LF ultrasound. Accordingly, the thermal and mechanical indices used in high frequency ultrasound were investigated for their use in LF range applications. Using numerical simulations based upon the theory of Holland and Apfel (1989), the classic definition of mechanical index was observed to be valid in the LF range, while deviating from being accurate with frequency decay. Accordingly a modified index for the threshold of inertial cavitation in the LF range may be proposed for experimental verification. The thermal index formulation was found to be valid for both LF and HF ultrasound.

### Conflict of Interest

The authors have no conflict of interest.

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